



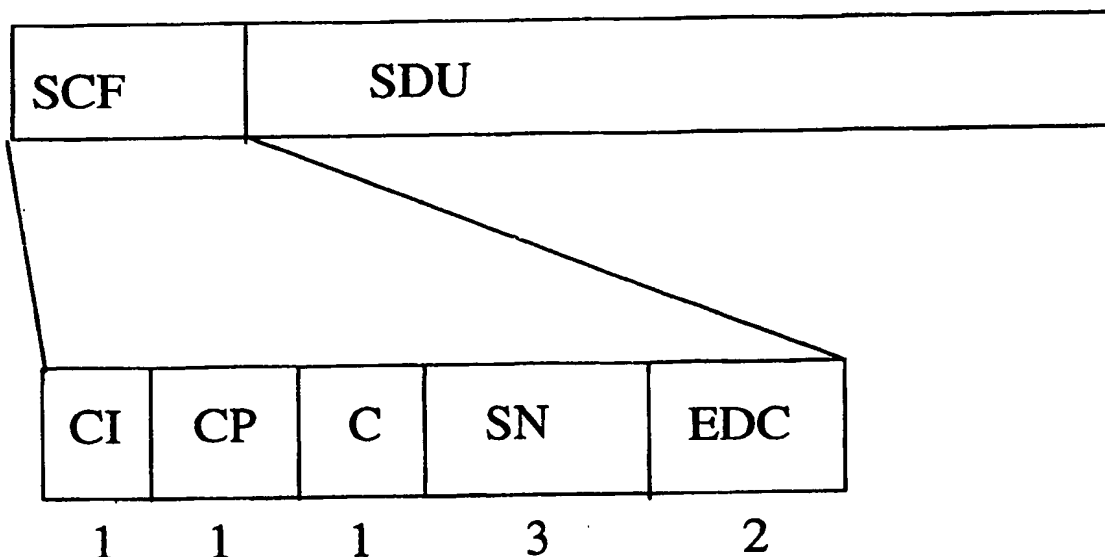
**PCT**

WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<p>(51) International Patent Classification <sup>6</sup> : <b>H04Q 11/04</b></p>	<p><b>A1</b></p>	<p>(11) International Publication Number: <b>WO 98/18288</b></p> <p>(43) International Publication Date: 30 April 1998 (30.04.98)</p>
<p>(21) International Application Number: PCT/GB97-02882</p> <p>(22) International Filing Date: 17 October 1997 (17.10.97)</p> <p>(30) Priority Data: 9621775.7                      18 October 1996 (18.10.96)                      GB</p> <p>(71) Applicant (for all designated States except US): NORTHERN TELECOM LIMITED [CA/CA]; World Trade Center of Montreal, 8th floor, 380 St. Antoine Street West, Montreal, Quebec H2Y 3Y4 (CA).</p> <p>(72) Inventors; and (75) Inventors/Applicants (for US only): BRUECKHEIMER, Simon, Daniel [GB/GB]; 74 Church Crescent, London N10 3NE (GB). STACEY, David, John [GB/GB]; 28 Orchard Close, Stansted Abbots, Herts SG12 8AH (GB).</p> <p>(74) Agent: RYAN, John, Peter, William; Nortel Patents, London Road, Harlow, Essex CM17 9NA (GB).</p>		<p>(81) Designated States: CA, JP, US, European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).</p> <p><b>Published</b> <i>With international search report.</i></p>

(54) Title: ATM COMMUNICATIONS SYSTEM AND METHOD



(57) Abstract

Traffic from a plurality of low bit rate users is transmitted over an ATM connection via a dynamic structured data transfer (DSDT) process. The user traffic is multiplexed together on a trunk group or frame by frame basis. Small data structures can be concatenated and long data structures can be blocked into a minicell format. This provides greater bandwidth utilisation and reduces the cell assembly delay.

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

## **ATM COMMUNICATIONS SYSTEM AND METHOD**

This invention relates to digital telecommunications systems and in particular to an arrangement and method for transmitting asynchronous transfer mode (ATM) traffic.

### **BACKGROUND OF THE INVENTION**

A recent development in telecommunications technology has been the introduction of the asynchronous transfer mode (ATM) transmission technique. The asynchronous transfer mode (ATM) technology is a flexible form of transmission which allows various types of service traffic, e.g. voice, video or data, to be multiplexed together on to a common means of transmission, the traffic being carried in cells each having a header indicating its destination. The service traffic is adapted typically into 53 byte cells comprising 5 byte headers and 48 byte payloads such that the original traffic can be reconstituted at the far end of the ATM network. This form of adaptation is performed in the ATM adaptation layer (AAL). The technique allows large volumes of traffic to be handled reliably and efficiently.

There is now a need to make provision for low bit rate multiple users who wish to share an ATM connection. This has introduced the problem of the assembly delay that is encountered in assembling the user traffic for despatch.

### **SUMMARY OF THE INVENTION**

An object of the invention is to minimise or to overcome this disadvantage.

-2-

According to the invention there is provided a method of transmitting traffic from a plurality of low bit rate users over an ATM connection via a dynamic structured data transfer (DSDT) process, the method including multiplexing the user traffic together on a trunk group or frame by frame basis.

According to another aspect of the invention there is provided a method of transmitting traffic from a plurality of low bit rate users over an ATM connection via a dynamic structured data transfer (DSDT) process, the method including changing the trunk group structure size dynamically in order to accommodate for fewer or greater low bit-rate users on the connection.

According to a further aspect of the invention there is provided apparatus for transmitting traffic from a plurality of low bit rate users over an ATM connection via a dynamic structured data transfer (DSDT) process, the apparatus including means for changing the trunk group structure size dynamically in order to accommodate for fewer or greater low bit-rate users on the connection.

Low bit-rate synchronous services can be defined as any low bit-rate (64 kb/s or lower) service that generates user information on a fixed periodic basis. For example, for 64 kb/s, 32 kb/s and 16 kb/s an octet of information is generated every 125 ms, 250 ms, and 500 ms respectively. By multiplexing on a trunk group basis using DSDT, a considerable increase in bandwidth utilisation for a given bounded cell assembly delay can be achieved.

SSCS/DSDT enables multiple low bit rate users to be multiplexed together on a trunk group basis, i.e. one minicell per frame rather than on a user by user basis, to achieve a high bandwidth efficiency for a given bounded cell assembly delay. Irrespective of trunk group size, the SSCS/DSDT mechanism can be tuned to generate SDUs of length approximately equal to the ATM payload size - this yields the optimum balance between high bandwidth efficiency and eliminating potential error extension. The size of the trunk group is deterministic and thus at all times the receive station will have implicit knowledge of the length of the mini-cells that it receives.

-3-

However, it is possible to change the size of the trunk group dynamically during the lifetime of the connection to accommodate changes in the community of low bit-rate users. The structure size change is performed in a controlled manner through ANP (access node processor) negotiation and so again, the receive station has full knowledge of the new structure before the in-band change is made. DSDT can be implemented into all three of the proposed AAL-CU alternatives at present under study, and it is possible to multiplex DSDT services into the same ATM connection containing other types of services.

### **BRIEF DESCRIPTION OF THE DRAWINGS**

An embodiment of the invention will now be described with reference to the accompanying drawings in which:-

Figure 1 is a highly schematic diagram illustrating an ATM network providing composite user access.

Figure 2 illustrates an ATM SSCS/DSDT format for providing trunk group multiplexing in the network of figure 1

Figures 3a, 3b and 3c illustrate the robustness of the trunk group multiplexing process to cell loss;

Figure 4 illustrates the multiplexing of long data structures in the network of figure 1;and

Figure 5 illustrates the concatenation of small trunk groups in the network of figure 1.

Referring first to figure 1, traffic from a number of users 11 is routed to an interface 12 where multiplexing of the user traffic into ATM cells is performed. The assembled ATM cells are provided with appropriate header information and are transmitted across the ATM network 13 to an egress interface 14 where cell disassembly and demultiplexing is performed to recover the user traffic.

The format of the AAL-CU DSDT SDU employed in the network of figure 1 is shown in figure 2. The mechanism is controlled by the operation of a simple SSCS Control Field (SCF) that contains 5 elements occupying at most one byte of payload. Dependent on the particular implementation of the AAL-CU CPS, the DSDT SCF can be implemented in fewer bits whilst still achieving satisfactory operation. The five elements of the SSCS/DSDT SCF are: a sequence number that enables the detection of lost or mis-inserted trunk group frames; a change indication flag and a change pulse flag, through which an in-band change in the trunk group structure size is indicated; a continuation marker that enables large trunk groups to be segmented into multiple SDUs in a similar manner to long data packets; and an error detection field that provides the minimal protection required over the SCF.

In the arrangement of figures 1 and 2, a cell sequence number is used as the primary mechanism to guard against the loss or mis-insertion of a cell or structure. Detection of lost/mis-inserted structures can be very important for synchronous services to ensure that the end-to-end phase relationship is maintained. Generally loss of phase will lead to error extension, particularly for modem traffic where it can lead to a loss of synchronisation which entails a re-synchronisation process of significant duration. A mini-cell sequence number is therefore provided in SSCS/DSDT. A 3 bit modulo 8 sequence number is shown in the proposed SCF format in figure 2. We have found that this provides a very high degree of protection against ATM cell loss. Satisfactory performance can be achieved with a shorter sequence number (2 bits) particularly if used in conjunction with an ATM cell sequence number. The final length of the sequence number is implementation specific - a shorter sequence number can be used if one wishes to reduce the SCF overhead, for example to 'shoe-horn' it into unused bits that might reside within the PCI.

A particular feature of DSDT is the ability to change the trunk group structure size dynamically in order to accommodate for fewer or greater low bit-rate users on the connection. The change is controlled through negotiation (via the ANP) such that at all stages the receive station has full knowledge of the current and new structure sizes. Once the parameters of the new structure size are agreed, the transmit station can perform the

-5-

change. It must provide an in-band change indication mechanism to accompany the change so that the receiver can detect the change boundary - in this way the end-to-end phase relationship between transmit and receive stations is maintained at all times. The in-band change mechanism should be robust in the possible presence of bit error or cell loss conditions and thus has the following minimum requirements:

ability to determine phase/start of structure size change;

ability to always determine current structure size even in the event of burst error conditions, i.e it is always possible to attain full re synchronisation even when a change is pending;

no error extension in the event that the change occurs in the presence of a single cell loss/error condition;

The second requirement dictates that the basic mechanism must provide a permanent indication that the change has occurred. Any structure change relying solely on a transitory indicator could be missed completely under cell loss conditions - making the resultant re synchronisation process extremely complex, and potentially ambiguous.

The third requirement is more demanding. In the event of a cell loss a permanent change indicator will generally indicate that a change is about to occur or has already occurred. It may not be sufficient to predict the exact (phase) boundary of the change. If this is mis-interpolated, in the worst case, a permanent phase change will occur.

To meet these requirements the in-band structure size change indication is implemented via two single bit fields, which provide both a permanent and a transitory indication of the change.

The single bit CI element provides the permanent change indication. Its sign is inverted at the mini-cell boundary immediately prior to the structure change. I.e. the first mini-cell payload containing an inverted CI contains the first frame at the new structure size. The CP element provides further correlation of the rate change - it is a single bit field that is 'pulsed' once to

indicate the position of the change. The combination of the CI and CP fields provides a secure change indication method, even in the event of cell loss

The robustness of the technique to the effects of cell loss is illustrated in figures 3a to 3c which illustrate recovery where a cell has been lost before, during or after implementation of a change. In figure 3a, cell 7 has been lost, but, because cell 0 has both inverted CI and CP set, the receiver can infer that minicell 7 did not contain a structure size change but minicell 0 does. In figure 3b, cell 0 is lost. Cell 1 has inverted CI but not a set CP. Therefore the change must have occurred in the preceding minicell 0. In figure 3c, a structure size change has been implemented through minicell 0. Minicell 1 has been lost, but minicell 2 has the same CI as minicell 0 (and no CP). Hence the receiver can infer that no further changes have occurred.

An objective of the SSCS/DSDT mechanism is to achieve optimum bandwidth utilisation whilst minimising error extension effects and enabling DSDT users to be freely multiplexed with SCA users. This is achieved by tuning the mechanism such that it produces SDUs of near optimum length irrespective of trunk group size. The optimum mini-cell length is equal to the free payload size of the ATM cell thus minimising PCI overhead whilst still guaranteeing one PCI per cell to avoid error extension effects. This is achieved in two ways. For large trunk groups, i.e. greater than the payload size, the SSCS/DSDT can segment the structure across multiple SDUs. For small trunk groups it is possible to concatenate several frames of data together into one SDU. The following sub-sections describe in detail how this is performed.

In AAL-CU generally, if very large mini-cells are assembled then several successive ATM payloads without a single PCI could be generated. Under these conditions a single bit error may lead to a prolonged error extension thus degrading the overall error performance of the communications link. To prevent this the maximum size of a mini-cell should be restricted and there is general agreement that the maximum should be fixed so that a mini-cell can fit into a single ATM cell. The SSCS/DSDT must comply with this maximum. However there should be no restraint placed on the



-7-

maximum size of a trunk group itself. Therefore, the SSCS/DSDT is provided with a continuation mechanism to enable large trunk groups to be efficiently segmented into multiple AAL-CU mini-cells, as per a long data packet.

The continuation (C) field is a single bit marker that is set to indicate the continuation of the trunk group into the following mini-cell. Continuation mini-cells will always be a single, constant length (set to comply with the maximum mini-cell size) and therefore the receive station has implicit knowledge of the mini-cell length once the continuation bit has been detected.

The C bit is reset in the last mini-cell which contains the remainder of the trunk group. The size of this mini-cell will again be fixed, dependent on total trunk group size, and again the receiver has implicit knowledge of its size irrespective of whether it loses any of the proceeding continuation mini-cells. Figure 4 shows by way of example of how a long trunk group structure containing 49 low bit-rate users, is segmented into SSCS/DSDT SDUs.

The mini-cell assembly delay is obviously increased when successive trunk group frames are multiplexed together. The maximum mini-cell assembly delay may therefore be controlled by specifying a minimum trunk group size. For example a minimum trunk group size of 6 users implies that it will take at most 8 successive frames to generate a mini-cell data unit equal in length to the ATM payload. At a 64kb/s user bit-rate this implies a maximum mini-cell assembly delay of approximately 1ms which is satisfactory for most applications. The maximum mini-cell assembly delay can be increased or decreased by defining the minimum trunk group size accordingly. Further it should be noted that if the AAL-CU connection is dedicated to the SSCS/DSDT function then the total ATM cell assembly delay is identical, irrespective of whether the data is assembled in the SSCS layer or CPS multiplexing and demultiplexing sub-layer. SSCS/DSDT will therefore support the concatenation of multiple small trunk group structures in the SSCS layer.

Figure 5 illustrates how successive frames of a small trunk group can be concatenated together to form a single SSCS/DSDT SDU. The number of frames that can be bound into a single mini-cell SDU is dependent on the current trunk group structure size. It may be calculated as the integer division between the maximum data unit size (for example 45 octets are available in AAL-CU Alternative 1) and the trunk group size. The PCI overhead is thus minimised whilst not exceeding the maximum mini-cell length limit. For example with a 6 channel trunk group this implies that 7 successive frames of data can be concatenated together. The bandwidth efficiency of the connection therefore varies with the structure size (see the following section on implementation for calculations of bandwidth utilisation) however in all cases the utilisation is significantly higher than that attained for a similar AAL-CU SCA connection. Further, although the size of the mini-cell varies with the structure size its size is completely deterministic and again the receiver always has implicit knowledge its length.

Implementation of the dynamic structured data transfer can be readily achieved either by replacing the conventional LI (length indicator) field with a SSCS field or by adding a single octet of additional control information to the start of each minicell payload.

**CLAIMS**

1. A method of transmitting traffic from a plurality of low bit rate users over an ATM connection via a dynamic structured data transfer (DSDT) process, the method including multiplexing the user traffic together on a trunk group or frame by frame basis.
2. A method as claimed in claim 1, wherein the trunk group size is changed dynamically whereby to accommodate a greater or lesser number of users.
3. A method as claimed in claim 1 or 2, wherein long structures are blocked into minicells of equal length, and wherein a continuation marker is provided.
4. A method as claimed in claim 1, 2 or 3, wherein structure size changes are indicated via change indicator and change pulse control fields.
5. A method as claimed in claim 3, wherein said continuation marker comprises a single bit field.
6. A method as claimed in claim 1 or 2, wherein multiple small structures are concatenated into a single minicell payload.
7. A method as claimed in any one of the preceding claims, wherein said traffic is multiplexed together with other traffic over the same ATM connection.
8. A method of transmitting traffic from a plurality of low bit rate users over an ATM connection via a dynamic structured data transfer (DSDT) process, the method including changing the trunk group structure size dynamically in order to accommodate for fewer or greater low bit-rate users on the connection.
9. A method as claimed in claim 8, wherein a change in trunk group size is negotiated prior to effecting the change at a transmitting station.

-10-

10. A method as claimed in claim 8 or 9, wherein DSDT traffic is multiplexed into an ATM connection with non-DSDT traffic.

11. Apparatus for transmitting traffic from a plurality of low bit rate users over an ATM connection via a dynamic structured data transfer (DSDT) process, the apparatus including means for changing the trunk group structure size dynamically in order to accommodate for fewer or greater low bit-rate users on the connection.

1/7

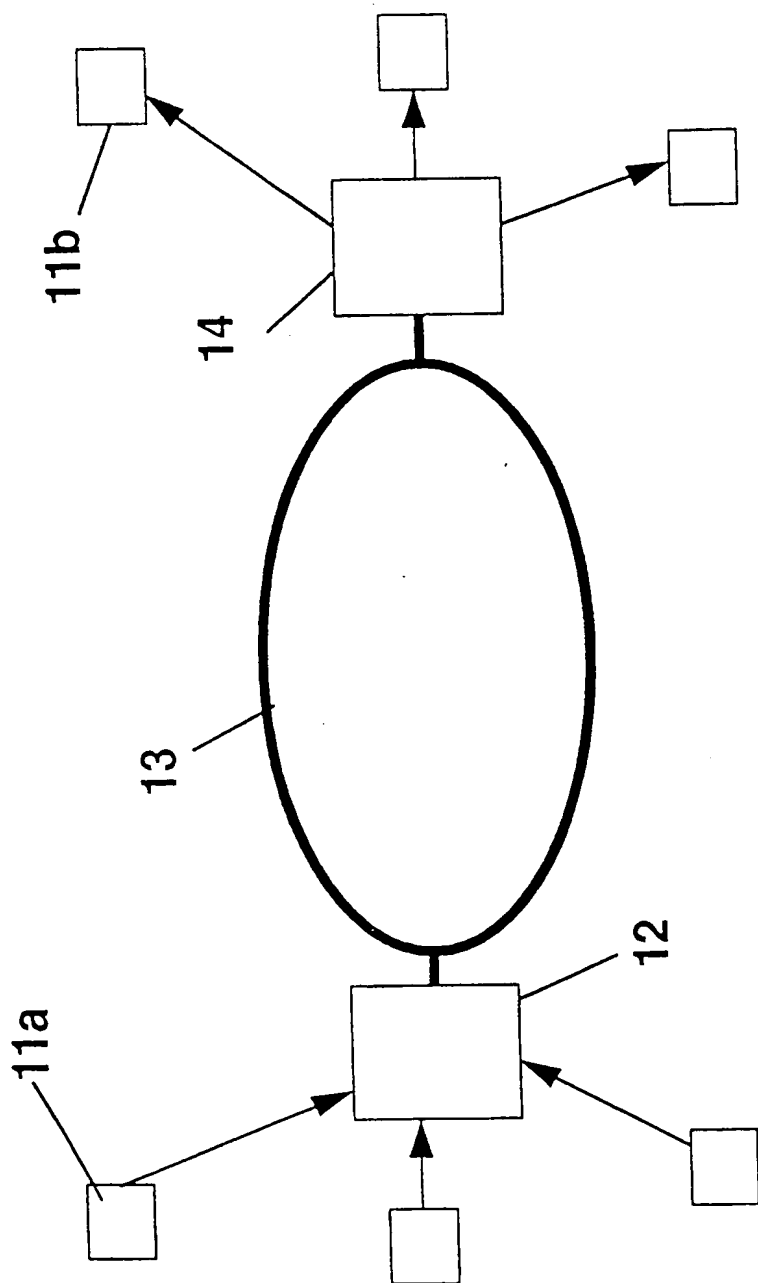


Fig.1

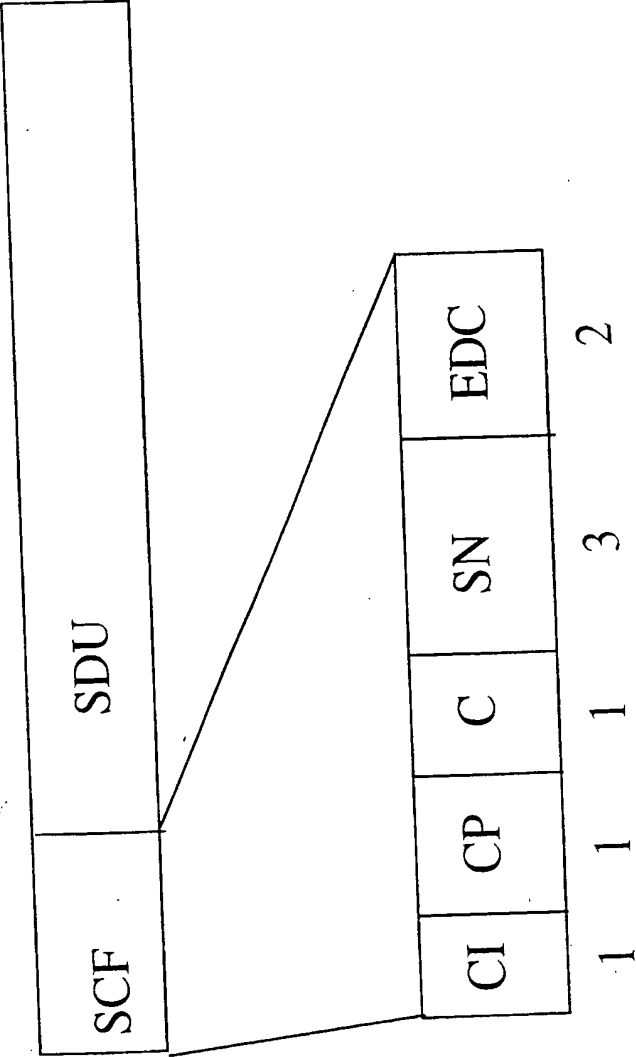
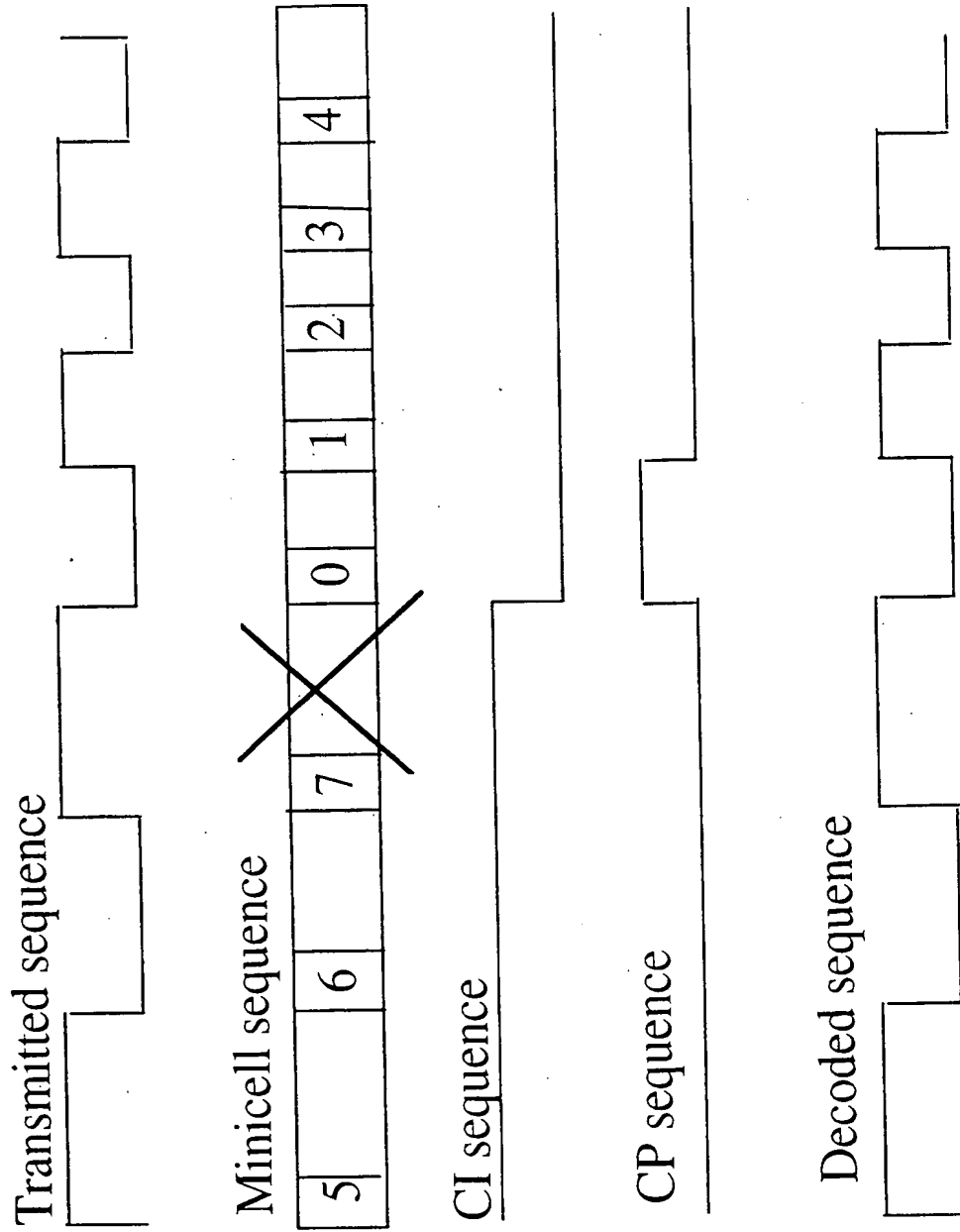


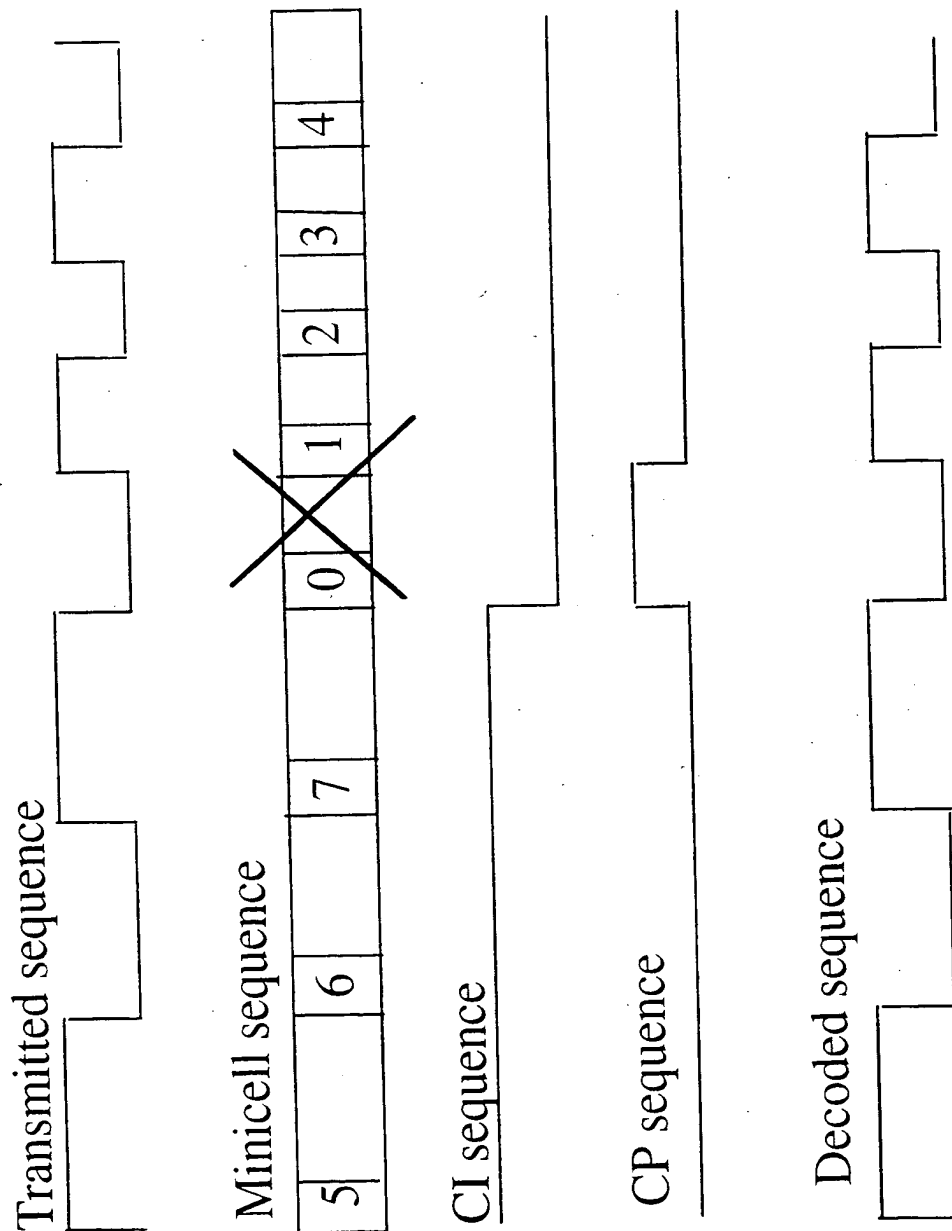
Fig.2

3/7



*Fig. 3a*

4/7



*Fig. 3b*



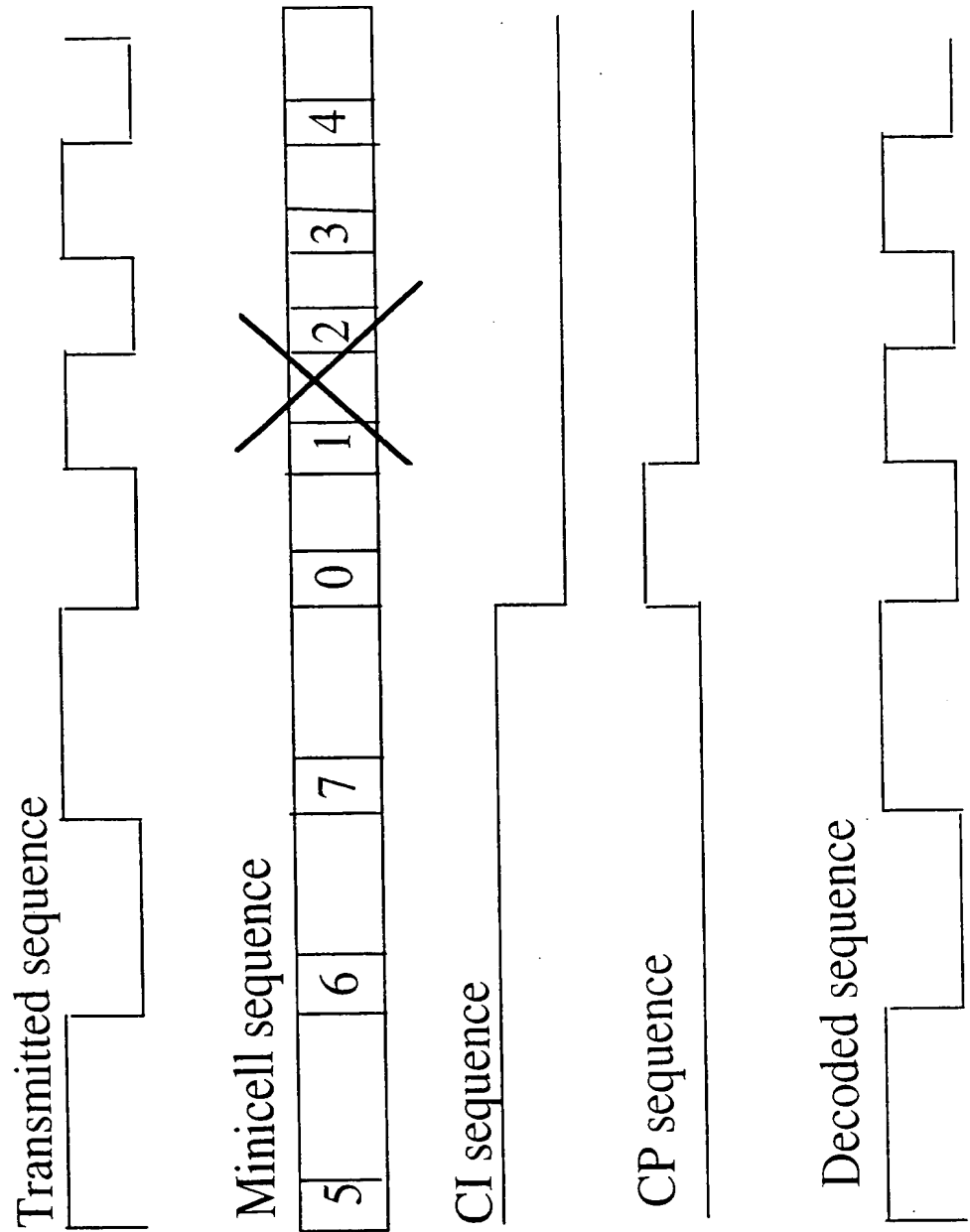


Fig. 3c

# INTERNATIONAL SEARCH REPORT

Int: Application No

PCT/GB 97/02382

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 6 H04011/04

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 H040

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	OTT M A ET AL: "PROTOTYPE ATM LAN SYSTEM MULTIMEDIA ON DEMAND APPLICATIONS" NEC RESEARCH AND DEVELOPMENT, vol. 35, no. 4, 1 October 1994, pages 366-373, XP000496856	1,3,6,7
A	see page 371, right-hand column, line 4 - page 372, left-hand column, line 11; figure 5	2,8,11
X	US 5 509 007 A (TAKASHIMA TOMONOBU ET AL) 16 April 1996	1,3,7
A	see column 3, line 33 - column 4, line 12	2,8,11
	--- -/--	

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

### \* Special categories of cited documents:

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

19 January 1998

Date of mailing of the international search report

28/01/1998

Name and mailing address of the ISA  
European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Veen, G

# INTERNATIONAL SEARCH REPORT

Int. Application No.

PCT/GB 97/02382

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	<p>ZSEHONG TSAI ET AL: "PERFORMANCE ANALYSIS OF TWO ECHO CONTROL DESIGNS IN ATM NETWORKS"</p> <p>IEEE / ACM TRANSACTIONS ON NETWORKING, vol. 2, no. 1, 1 February 1994, pages 30-39, XP000446088</p> <p>see page 32, left-hand column, line 28 -</p> <p>page 33, left-hand column, line 19; figure 3</p> <p>-----</p>	1

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/GB 97/02882

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 5509007 A	16-04-96	JP 5336159 A	17-12-93

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☐ **FADED TEXT OR DRAWING**
- ☐ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☐ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER:** \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**

**This Page Blank (uspto)**